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PATENT
ATTORNEY DOCKET NO.: 024607-5002

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

| | | |
|---|---|---|
| In re Application of: | ) | |
| | ) | Group Art Unit: Unassigned |
| Shinji YOKO, et al. | ) | |
| | ) | |
| Application No.: 09/871,697 | ) | Examiner: Unassigned |
| | ) | |
| Filed: June 4, 2001 | ) | |

For: MULTI-DIMENSIONAL MANAGEMENT METHOD AND SYSTEM

Commissioner for Patents
**BOX MISSING PARTS**
Washington, D.C. 20231

## RESPONSE TO NOTICE TO FILE MISSING PARTS

1. This replies to the Notice to File Missing parts of Application (PTO-1533) mailed August 3, 2001.

   A copy of the Notice To File Missing Parts Of Application-Filing Date Granted (Form PTO-1533) is enclosed.

2. Declaration Or Oath

   [X] No declaration or oath was filed. Enclosed are the original Declarations.

   [ ] The specification attached to the declaration is a copy of the specification and any amendments thereto which were filed in the PTO to obtain the filing date.

   [ ] The declaration or oath which was filed was determined to be defective. A new original Combined Declaration and Power of Attorney is attached.

3. English Translation of Non-English Language Papers

[ ] Submitted herewith is a verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the PTO.

Also enclosed is the Verification of Translation Document

4. Small entity status under 37 C.F.R. § 1.27 is asserted for the present application.

5. Fee Calculation

| | | | | |
|---|---|---|---|---|
| | | | Basic Fee:<br>Patent Application-$740.00<br>Design Application-$330.00 | $ 740.00 |
| | Number Filed | Number Extra | at a Rate of | |
| Total Claims | 35 - 20 = | 15 | $18.00 each= | +$270.00 |
| Independent Claims | 5 - 3 = | 2 | $84.00 each= | +$168.00 |
| Multiple dependent claim(s), if any | | | $260.00 | + |
| Missing Parts Surcharge Fee | | | $130.00 | + 130.00 |
| **SUB-TOTAL =** | | | | **$1308.00** |
| Reduction by ½ for filing by a small entity | | | | - $ |
| **SUB-TOTAL =** | | | | **$1308.00** |
| Fee For Application Filed With A Non-English Specification (37 CFR 1.17(k) and 1.52(d) | | | $130.00 | + |
| Fee For Processing and retention of application (37 CFR 1.21(l) and 1.53(d) | | | $130.00 | + |
| TOTAL FILING FEE = | | | | **$1308.00** |

6. Extension of Time

The proceedings herein are for a patent application and the provisions of 37 CFR 1.136(a) apply.

[ ] Applicant petitions for an extension of time, the fees for which are set out in 37 CFR 1.17(a)-(d), for the total number of months checked below:

| Total months requested | Fee for extension | [fee for Small Entity] |
|---|---|---|
| [ ] one month | $ 110.00 | $ 55.00 |
| [ ] two months | $ 400.00 | $200.00 |
| [ ] three months | $ 920.00 | $460.00 |
| [ ] four months | $1440.00 | $720.00 |
| [ ] five months | $1960.00 | $980.00 |

Extension of time fee due with this request: $ 0.00

If an additional extension of time is required, please consider this a Petition therefor.

[X] Applicant believes that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

7. Fee Payment

The total fee due is: Completion Fees $ 1308.00
Assignment Fees $ 40.00

Total Fee Due $1308.00

[X] Enclosed is a check in the amount of $ 1348.00 representing the total fee due.

**Except** for issue fees payable under 37 C.F.R. §1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R §1.16 and §1.17 which may be required, or credit any overpayment to Deposit Account No. 50-0310.

[ ] The Commissioner is hereby authorized to charge the necessary filing fees or credit any overpayment fees to Deposit Account No. 50-0310.

8. Additional papers enclosed.

[ ] Preliminary Amendment
[ ] Information Disclosure Statement
[ ] Form PTO-1449
[ ] Citations
[ ] Declaration of Biological Deposit
[ ] Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.

Respectfully submitted,

**MORGAN, LEWIS & BOCKIUS LLP**

Mary Jane Boswell
Reg. No. 33,652

Date: October 3, 2001

**CUSTOMER NO. 09629**
**MORGAN, LEWIS & BOCKIUS LLP**
**1800 M Street, N.W.**
**Washington, D.C. 20036**
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|---|---|---|---|
| 09/871,697 | 06/04/2001 | Shinji Yodo | 024607-5002 |

**CONFIRMATION NO. 9086**

**FORMALITIES LETTER**

*OC000000006381034*

MORGAN, LEWIS & BOCKIUS LLP
1800 M Street, N.W.
Washington, DC 20036

OIPE JC177
OCT 0 3 2001
PATENT & TRADEMARK OFFICE

Date Mailed: 08/03/2001

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
  *Applicant must submit* **$ 710** *to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is $430.
  - **$270** for **15** total claims over 20.
  - **$160** for **2** independent claims over 3 .
- The oath or declaration is missing.
  *A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of $130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is $ 1270.**

*A copy of this notice MUST be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/05/2001 NMOHAMM1 00000067 09871697
01 FC:101 740.00
02 FC:105 130.00
03 FC:103 270.00
04 FC:102 168.00